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Page 1 of 2

Receipt

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20220
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/960,623 | 09/20/2001 | 2122 | 0.00 | 24717-708 | 7 | 22 | 2 |

CONFIRMATION NO. 4307

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FILING RECEIPT



OC000000006952810

DOCKETED

Date Mailed: 10/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Omar C. Baldonado [Residence Not Provided] PALO ALTO, CA;
Sean P. Finn, [Residence Not Provided] BELMONT, CA;
Mansour J. Karam, [Residence Not Provided] MOUNTAIN VIEW, CA;
Michael A. Lloyd, [Residence Not Provided] SAN CARLOS, CA;
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James G. McGuire, [Residence Not Provided] SAN FRANCISCO, CA;
Jose-Miguel Pulido Villaverde, [Residence Not Provided] PALO ALTO, CA--

Technology Center 2100

DEC 26 2001

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Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/241,450 10/17/2000
AND CLAIMS BENEFIT OF 60/275,206 03/12/2001

And CLAIMS BENEFIT OF 09/903,441 07/10/2001

Foreign Applications And CLAIMS BENEFIT OF 09/923,924 08/06/2001

And CLAIMS BENEFIT OF 09/903,423 07/10/2001

If Required, Foreign Filing License Granted 10/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for coordinating routing parameters via a back-channel communication

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JAN 29 2002

Technology Center 2600



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/960,623 | 09/20/2001 | Omar C. Baldonado | 24717-708 |

021971
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CONFIRMATION NO. 4307

FORMALITIES LETTER



OC00000006952811

Date Mailed: 10/23/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 876.

*A copy of this notice **MUST** be returned with the reply.*

D. Washburn
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 GBERNE 00000005 232415 00010320

| | |
|-----------|-----------|
| 01 F01201 | 270.00 EN |
| 02 F01202 | 65.00 EN |
| 03 F01203 | 10.00 EN |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Omar C. Balonado et al.

Group No.: 2122

Serial No.: 09/960,623

Examiner: Unassigned

Filed: September 20, 2001

For: Method and apparatus for coordinating routing parameters via a back-channel communications

Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION


 This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed October 23, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SMALL ENTITY STATUS

IV. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

| | |
|------------------------------------------------------------------------------|----------|
| 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a)) | \$370.00 |
| 2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c)) | \$18.00 |
| 3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b)) | \$0 |
| 4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e)) | \$65.00 |
| 5. Assignment (See "ASSIGNMENT COVER SHEET".) | \$40.00 |
| 6. Total completion fees | \$493.00 |

REQUEST FOR CORRECTED FILING RECEIPT

V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

| Error in | Correct data |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Applicant name and/or addresses | - Omar C. Baldonado, Palo Alto, CA; Sean P. Finn, Belmont, CA; Mansour J. Karam, Mountain View, CA; Michael A. Lloyd, San Carlos, CA; Herbert S. Madan, Tiburon, CA; James G. McGuire, San Francisco, CA; Jose-Miguel Pulido Villaverde, Palo Alto, CA-- |
| 2. Priority Claim | - THIS APPLN CLAIMS BENEFIT OF 60/241,450 10/17/2001 AND CLAIMS BENEFIT OF 60/275,206 03/12/2001 AND CLAIMS BENEFIT OF 09/903,441 07/10/2001 AND CLAIMS BENEFIT OF 09/923,924 08/06/2001 AND CLAIMS BENEFIT OF 09/903,423 07/10/2001--. |
| 3. Entity status | - Small |

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

VI. The total fee due is: Completion fees \$493.00, Corrected Filing Receipt Fees \$25.00.

TOTAL FEE DUE \$518.00

PAYMENT OF FEES

VII. Charge Account No. 23-2415 (24717-708) in the amount of \$518.00.

Please charge Account No. 23-2415 (24717-708) for any fees that may be due by this paper.



 Kenta Suzue, Reg. No. 45,145
Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304
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Customer No. 021971

CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.8)

"Express Mail" Mailing Label No. EL713755891US

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the **Commissioner for Patents, Washington, D.C. 20231.**

Date: November 15, 2001


Donna L. Hengst